

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IL6003206	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____		(X3) DATE SURVEY COMPLETED 06/29/2016
NAME OF PROVIDER OR SUPPLIER PAXTON HEALTHCARE AND REHAB			STREET ADDRESS, CITY, STATE, ZIP CODE 1240 NORTH MARKET STREET PAXTON, IL 60957		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE	
S 000	Initial Comments Annual Licensure and Certification Survey	S 000			
S9999	Final Observations STATEMENT OF LICENSURE VIOLATIONS: 300.2010a)1) 300.330 300.2010 Director of Food Services a) A full-time person, qualified by training and experience, shall be responsible for the total food and nutrition services of the facility. This person shall be on duty a minimum of 40 hours each week. 1) This person shall be either a dietitian or a dietetic service supervisor. 300.330 Definitions: Dietetic Service Supervisor - a person who is a dietitian; or is a graduate of a dietetic technician or dietetic assistant training program, corresponding or classroom, approved by the American Dietetic Association; or is a graduate, prior to July 1, 1990, of a Department approved course that provided 90 or more hours of classroom instruction in food service supervision and has had experience as a supervisor in a health care institution which included consultation from a dietitian; or has successfully completed a Dietary Manager's Association approved dietary managers course; or is certified as a dietary manager by the Dietary Manager's Association; or has training and experience in food service supervision and management in a military service equivalent in content to the programs in the second, third, or fourth paragraph of this definition.	S9999			

Attachment A
Statement of Licensure Violations

Illinois Department of Public Health

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

07/15/16

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S9999	<p>Continued From page 1</p> <p>These requirements are not met as evidenced by the following:</p> <p>Based on interview and record review, the facility failed to have a qualified Dietetic Services Supervisor who has completed the required training and works 40 hours per week in the dietary department. This failure has the potential to effect all 59 residents.</p> <p>Findings include:</p> <p>E4, Dietary Manager stated on 6-26-16 at 10:15 A.M. that E4 became the Dietary Manager in April 2016. E4 stated she is enrolled in the University of Florida correspondence Dietary Manager course. E4 stated she has completed 23 of the 26 lessons. E4 stated that the 23 lessons have been reviewed and graded. E4 stated that she has three lessons to complete and take the final examination</p> <p>E4's personnel file documents E4 began as Dietary Manager on 4-21-15. E1, Administrator verified on 6-29-16 at 10:45 A.M. that E4 began as Dietary Manager on 4-21-15.</p> <p>According to the facility's "Resident Census and Conditions of Residents" signed 6-26-16, 59 residents reside at the facility.</p> <p style="text-align: center;">(AW)</p> <p>-----</p> <p>300.3260c)</p> <p>300.3260c) Resident Funds The facility may accept funds from a resident for safekeeping and managing, if it receives written</p>	S9999			

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S9999	<p>Continued From page 2</p> <p>authorization from, in order of priority, the resident or the resident's guardian, if any, or the resident's representative, if any, or the resident's immediate family member any, such authorization shall be attested to by a witness who has no pecuniary interest in the facility or its operations and who is connected in any way to facility personal or the administrator in any manner whatsoever. (Section 2-201(2) of the Act)</p> <p>This requirement was not met as evidenced by the following:</p> <p>Based on record review and interview, the facility failed to obtain a witness who has no interest in the facility, for resident trust fund written authorizations for 35 of 64 residents (ten residents {R1, R3, R5, R6, R7, R15, R17, R18, R19, and R20} of 15 sampled residents and 25 supplemental residents {R2, R10, R11, R23, R24, R26, R28, R29, R30, R31, R32, R33, R37, R38, R40, R43, R44, R46, R47, R49, R50, R51, R52, and R53} which the facility managed trust funds.</p> <p>The findings include:</p> <p>On 6-28-16 at 10:45 A.M., the Business Office Manager, E17 provided a "Trial Balance" report dated 6-28-16 of all the residents having Resident Trust Fund account managed by the facility. The "Trial Balance" report listed 64 resident's trust funds are managed by the facility which includes residents who currently reside at the facility and residents who were transferred or discharged. Resident Trust Fund authorizations that were provided for the residents were reviewed.</p> <p>Thirty-five of 64 residents (ten residents {R1, R3, R5, R6, R7, R15, R17, R18, R19, and R20} of 15 sampled residents and 25 supplemental</p>	S9999		

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S9999	<p>Continued From page 3</p> <p>residents(R2, R10, R11, R23, R24, R26, R28, R29, R30, R31, R32, R33, R37, R38, R40, R43, R44, R46, R47, R49, R50, R51, R52, and R53) trust fund authorizations were not witnessed by someone who has no pecuniary interest in the facility or the administrator. On 6/29/16 E23, Assistant Comptroller was asked if the facility had any information related to the authorizations without the proper witness and E23 said E23 did not.</p> <p>(B)</p> <p>-----</p> <p>Section 300.650a)c)d) Section 300.650 Personnel Policies a) Each facility shall develop and maintain written personnel policies that are followed in the operation of the facility. These policies shall include, at a minimum, each of the requirements of this Section. c) Prior to employing any individual in a position that requires a State license, the facility shall contact the Illinois Department of Professional Regulation to verify that the individual's license is active. A copy of the license shall be placed in the individual's personnel file. d) The facility shall check the status of all applicants with the Health Care Worker Registry prior to hiring. These requirements were not met as evidenced by:</p> <p>Based on interview and record review, the facility failed to follow their policy for checking the Healthcare Worker Registry prior to hiring new employees and failed to verify and obtain a copy of a state license of a Registered Nurse. This failure has the potential to affect all 59 residents</p>	S9999			

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S9999	<p>Continued From page 4</p> <p>who reside at the facility.</p> <p>Findings Include:</p> <p>The facility's Abuse Prevention Policy dated 7/23/2015 documents, "The facility will not knowingly employ any individual convicted of resident abuse, neglect, or misappropriation of property. The facility will not knowingly employ any direct care staff convicted of any of the crimes listed in the Illinois Healthcare Worker Background Check Act (unless waived under the provision of the Act), or with findings of abuse listed on the Illinois Healthcare Worker Registry. Prior to new employee starting a work schedule, this facility will: .Check the Illinois Healthcare Worker Registry on any individual being hired for prior reports of abuse, previous fingerprint check results, and the sex offender website links on the Registry..."</p> <p>1. On 6/27/16 at 10:00am, E17 (BOM) Business Office Manager provided an undated list of Current CNA's (Certified Nursing Assistant) and Employees hired within the last four months which included dates of hire.</p> <p>On 6/27/16 at 2:20 pm, Healthcare Worker Registry checks and personnel files were reviewed with E17 and E1 Administrator and the following was confirmed by E17:</p> <p>E13 CNA was hired on 12-21-15, and the Healthcare Worker Registry was checked on 3-9-15.</p> <p>E12 CNA was hired on 12-27-15, and the Healthcare Worker Registry was not checked until 1-12-16.</p> <p>E11 Dietary Aide was hired on 2-16-16, and no Healthcare Worker Registry check was provided.</p>	S9999		

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S9999	<p>Continued From page 5</p> <p>E10 Housekeeper was hired on 3-7-16, and no Healthcare Worker Registry check was provided. E6 CNA was hired on 4-11-16, and the Healthcare Worker Registry was not checked until 6-27-16.</p> <p>E9 CNA was hired on 4-12-16, and the Healthcare Worker Registry was not checked until 6-27-16.</p> <p>E2 DON (Director of Nursing) was hired on 5-18-16, and no Healthcare Worker Registry check was provided. There was no copy of E2's RN (Registered Nurse) license in E2's personnel file, or documentation that E2's license was verified on the Illinois Department of Professional Regulations website.</p> <p>E8 CNA was hired on 5-20-16, and the Healthcare Worker Registry was not checked until 6-27-16.</p> <p>E7 CNA was hired on 6-13-16, and the Healthcare Worker Registry was not checked until 6-27-16.</p> <p>On 6/27/16 at 2:25 pm, E17 BOM stated, E17 isn't sure why E12's CNA Registry check was done after employment because E17 wasn't doing then at that time but that E6, E7, E8, and E9 CNA's were not checked until 6/27/16, because "they somehow got over looked. It was an error on my part."</p> <p>On 6/28/16 at 9:55 am, E17 stated, E13 CNA had been employed by the facility previously, and that a new Healthcare Worker Registry check had not been completed when E13 was rehired on 12-21-15. That is why the check is dated on 3-9-15, 8 months prior to employment.</p> <p>The Resident Census and Conditions of Residents form dated 6/26/16 documents 59 residents reside in the facility.</p>	S9999		

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